

## Providence University Regulations on Handling Thesis/Dissertation Fraudulence

[Amended at the Academic Affairs Meeting on December 13, 2023](#)

Article 1 In accordance with *Degree Conferral Act* and the incidents handling procedures and penalty standards of Article 18 of the *Act* and *Providence University Regulations on Handling and Investigating Research Misconduct*, the Providence University Regulations on Handling Thesis/Dissertation Fraudulence (hereinafter referred to as the “Regulations”) are established to safeguard the academic dignity and stipulate the objective and fair handling procedures to prevent plagiarism, custom writing, or other fraudulence on the writing of thesis/dissertation, works in place of thesis/dissertation, proof of achievement, written report, technical reports, or professional practice report, etc.

Article 2 Where a student’s academic findings conform to the violation of academic ethics stipulated in the *Providence University Regulations on Handling and Investigating Research Misconduct* and is reported by a named complainant with substantial evidence that the respondent’s thesis/dissertation, works, proof of achievement, written reports, technical reports, or professional practice report is suspected of fraud or violating academic ethics, upon the verification of the complainant by the Office of Research and Development, the case enters the review procedures.

The case reported by an anonymous complainant, specifying the party concerned in the violation and a description of the violation of academic ethics with substantial evidence may enter the review procedures.

Prior to the verification of fraudulence, the case shall remain confidential to protect the privacy of both the complainant and the respondent.

Article 3 Where the charges of plagiarism, custom writing, any other fraudulence, or violation of academic ethics are brought against the student’s thesis/dissertation, works, proof of achievement, written report, technical report, or professional practice report, after the University completes the investigation and review procedures and provides disposal suggestions, the Office of Academic Affairs shall establish a Thesis/Dissertation Fraudulence Review Committee (hereinafter referred to as the “**Review Committee**”) which should process the case in a fair, objective, and efficient manner.

The said Review Committee comprises the Dean of Academic Affairs, the Department (Institute) Head and two faculty representatives the respondent is affiliated to, and one faculty member with law background; the list of Review Committee members shall be approved by the University President. The Dean of Academic Affairs serves as the Chairperson of the Review Committee and the convener of the review meeting; the subject

student's academic advisor may be invited, upon necessity, to attend the meeting for explanation.

The academic advisor, members of the oral examination committee, and the respondent's relatives by blood or marriage within the third degree shall not be appointed as the committee members.

Article 4 Where the thesis/dissertation, works, proof of achievement, written report, technical report, or professional practice report in question is verified to be violating plagiarism, custom writing, other fraudulence, or academic ethics, and the Review Committee has decided to revoke the degree conferred, the Office of Academic Affairs shall announce the invalidation of the degree diploma bestowed on the respondent, inform the respondent to return the degree diploma, and advise the other colleges and related institutions of the revocation and invalidation. Where custom writing is verified, it is subject to the procedures and penalty criteria stipulated in Article 18 of *Degree Conferral Act*, and the offender or the person(s) responsible shall be subject to a fine of NT\$300,000 (three hundred thousand New Taiwan Dollars) to NT\$1,000,000 (one million New Taiwan Dollars) as a penalty, and a separate fine will be imposed for each instance of violation. The penalty fine referred to in the preceding clause will be imposed by the competent authority. If some other ordinance has been violated, the matter shall be dealt with in accordance with the provisions of the relevant laws and regulations.

Those who have their degree revoked due to the fraudulence prescribed in the preceding paragraph shall be treated as mandatorily withdrawn and be disqualified for continuous studying even if they have not reached the expiration of the length of study.

Where the violation of academic ethics is verified, the relevant parties shall bear the following responsibilities:

- A. The listed author(s) shall bear full responsibility for the part of their contribution.
- B. The listed author(s) whose listing does not meet the domestic or international standards, though they are not verified to be involved in the violation of academic ethics, should bear the corresponding responsibilities if they benefit from being listed in the published work.
- C. The advising professor shall be responsible for the inadequate supervision of the thesis/dissertation published by the student under his advisory.

Article 5 The Review Committee shall complete the review procedures and adopt a resolution against the suspected fraudulence on thesis/dissertation, works in place of thesis/dissertation, proof of achievement, written report, technical reports, or professional practice report, etc. within one and a half months after accepting the fraudulence report. Within ten days of the approval on the resolution by the University President, the Office of Academic Affairs shall notify the respondent in writing, explicitly stating the facts, results of the review, disposition, reasons, and the legal basis of the resolution. The respondent may submit a complaint to the Student Grievance and Appeal Committee of the University within 30 days effective the following day of receiving or learning the content of the report.

Article 6 After the Review Committee has verified and made a resolution on the fraudulence on the thesis/dissertation, works, proof of achievement, written report, technical report, or

professional practice reports, the Office of Academic Affairs shall make a public announcement of it, and the identification of the complainant shall be kept in strict confidence.

**Article 7 Whereas the thesis/dissertation is verified to have violated academic ethics, disciplinary actions will be taken depending on the circumstances and they can range from a formal written warning to the case advisor's being forbidden from taking new advisees for two years, effective on the date of verification; the case academic advisor shall also participate in the related academic ethics studies for at least 20 hours in two years. The existing advisees may take the initiative to request an advisor change.**

Article 8 Matters not provided in these Regulations shall be subject to the *Providence University Regulations on Handling and Investigating Research Misconduct*.

Article 9 These Regulations shall enter into force upon the approval of the Academic Affairs Meeting; the same procedure applies to the amendments.

Adopted at the Academic Affairs Meeting on June 4, 2008  
Amended at the Academic Affairs Meeting on December 30, 2009  
Amended at the Academic Affairs Meeting on December 28, 2011  
Amended at the Academic Affairs Meeting on May 29, 2013  
Amended at the Academic Affairs Meeting on December 13, 2017  
Amended at the Academic Affairs Meeting on June 19, 2019  
Amended at the Academic Affairs Meeting on June 2, 2021  
Amended at the Academic Affairs Meeting on May 24, 2023

**\*The Chinese version of the document shall prevail in case of any discrepancy or inconsistency between the Chinese version and its English translation.**